

General Assembly

Amendment

February Session, 2008

LCO No. 5371

SB0048305371SD0

Offered by:

SEN. WILLIAMS, 29th Dist. SEN. LOONEY, 11th Dist. SEN. GAFFEY, 13th Dist. SEN. HANDLEY, 4th Dist. SEN. MCDONALD, 27th Dist. SEN. STILLMAN, 20th Dist.

To: Senate Bill No. 483

File No. 267

Cal. No. 205

(As Amended by Senate Amendment Schedule "A")

"AN ACT PROMOTING PATIENT SAFETY AND ACCESS TO PROVIDER INFORMATION BY EXTENDING THE STATE PHYSICIAN PROFILE TO CERTAIN OTHER HEALTH CARE PROVIDERS."

- 1 Strike everything after the enacting clause and substitute the
- 2 following in lieu thereof:
- 3 "Section 1. (NEW) (Effective January 1, 2010) (a) For the purposes of
- 4 this section:
- 5 (1) "Department" means the Department of Public Health;
- 6 (2) "Physician" means a physician licensed pursuant to chapter 370
- 7 of the general statutes; and
- 8 (3) "Health care provider" means: (A) A dentist licensed under

9 chapter 379 of the general statutes; (B) a chiropractor licensed under

- 10 chapter 372 of the general statutes; (C) an optometrist licensed under
- 11 chapter 380 of the general statutes; (D) a podiatrist licensed under
- 12 chapter 375 of the general statutes; (E) a nature opath licensed under
- 13 chapter 373 of the general statutes; (F) a dental hygienist licensed
- 14 under chapter 379a of the general statutes; (G) an advanced practice
- 15 registered nurse licensed under chapter 378 of the general statutes; or
- 16 (H) a physical therapist licensed under chapter 376 of the general
- 17 statutes. "Health care provider" does not include a physician.
- 18 (b) The department, after consultation with the Connecticut Medical
- 19 Examining Board, the Connecticut State Medical Society, or any other
- 20 appropriate state board, shall, within available appropriations, collect
- 21 the following information to create an individual profile on each health
- 22 care provider for dissemination to the public:
- 23 (1) The name of any medical or dental school, chiropractic college,
- 24 school or college of optometry, school or college of chiropody or
- 25 podiatry, school or college of natureopathy, school of dental hygiene,
- 26 school of physical therapy or other school or institution giving
- 27 instruction in the healing arts attended by the health care provider and
- 28 the date of graduation;
- 29 (2) The site, training, discipline and inclusive dates of any
- 30 completed postgraduate education or other professional education
- 31 required pursuant to the applicable licensure section of the general
- 32 statutes;
- 33 (3) The area of the health care provider's practice specialty;
- 34 (4) The address of the health care provider's primary practice
- 35 location or primary practice locations, if more than one;
- 36 (5) A list of languages, other than English, spoken at the health care
- 37 provider's primary practice locations;
- 38 (6) An indication of any disciplinary action taken against the health

care provider by the department, the appropriate state board or any professional licensing or disciplinary body in another jurisdiction;

- 41 (7) Any current certifications issued to the health care provider by a 42 specialty board of the health care provider's profession recognized by 43 the department;
- 44 (8) The hospitals and nursing homes at which the health care 45 provider has been granted privileges;
 - (9) Any appointments of the physician to a Connecticut medical or dental school faculty or the faculty of any other school or institution giving instruction in the healing arts and an indication as to whether the health care provider has current responsibility for graduate professional education;
- 51 (10) A listing of the health care provider's publications in peer 52 reviewed literature;
- 53 (11) A listing of the health care provider's professional services, 54 activities and awards;
 - (12) Any hospital disciplinary actions against the health care provider that resulted, within the past ten years, in the termination or revocation of the health care provider's hospital privileges for a professional disciplinary cause or reason, or the resignation from, or nonrenewal of, professional staff membership or the restriction of privileges at a hospital taken in lieu of or in settlement of a pending disciplinary case related to professional competence in such hospital;
 - (13) A description of any criminal conviction of the health care provider for a felony within the last ten years. For the purposes of this subdivision, a health care provider shall be deemed to be convicted of a felony if the health care provider pleaded guilty or was found or adjudged guilty by a court of competent jurisdiction or has been convicted of a felony by the entry of a plea of nolo contendere;
- 68 (14) To the extent available, and consistent with the provisions of

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subsection (c) of this section, all professional malpractice court judgments and all professional malpractice arbitration awards against the health care provider in which a payment was awarded to a complaining party during the last ten years, and all settlements of professional malpractice claims against the health care provider in which a payment was made to a complaining party within the last ten years;

- 76 (15) An indication as to whether the health care provider is actively 77 involved in patient care; and
- 78 (16) The name of the health care provider's professional liability 79 insurance carrier.
 - (c) Any report of a professional malpractice judgment or award against a health care provider made under subdivision (14) of subsection (b) of this section shall comply with the following: (1) Dispositions of paid claims shall be reported in a minimum of three graduated categories indicating the level of significance of the award or settlement; (2) information concerning paid professional malpractice claims shall be placed in context by comparing an individual health care provider's professional malpractice judgments, awards and settlements to the experience of other health care providers licensed in Connecticut who perform procedures and treat patients with a similar degree of risk; (3) all judgment award and settlement information reported shall be limited to amounts actually paid by or on behalf of the health care provider; and (4) comparisons of professional malpractice payment data shall be accompanied by (A) an explanation of the fact that health care providers treating certain patients and performing certain procedures are more likely to be the subject of litigation than others and that the comparison given is for health care providers who perform procedures and treat patients with a similar degree of risk; (B) a statement that the report reflects data for the last ten years and the recipient should take into account the number of years the health care provider has been in practice when considering the data; (C) an explanation that an incident giving rise to a

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professional malpractice claim may have occurred years before any payment was made due to the time lawsuits take to move through the legal system; (D) an explanation of the effect of treating high-risk patients on a health care provider's professional malpractice history; and (E) an explanation that professional malpractice cases may be settled for reasons other than liability and that settlements are sometimes made by the insurer without the health care provider's consent. Information concerning all settlements shall be accompanied by the following statement: "Settlement of a claim may occur for a variety of reasons that do not necessarily reflect negatively on the professional competence or conduct of the health care provider. A payment in settlement of a professional malpractice action or claim should not be construed as creating a presumption that professional malpractice has occurred."

- (d) Pending professional malpractice claims against a health care provider and actual amounts paid by or on behalf of a health care provider in connection with a professional malpractice judgment, award or settlement shall not be disclosed by the department to the public. This subsection shall not be construed to prevent the department from investigating and disciplining a health care provider on the basis of professional malpractice claims that are pending.
- (e) Prior to the initial release of a health care provider's profile to the public, the department shall provide the health care provider with a copy of the health care provider's profile. Additionally, any amendments or modifications to the profile that were not supplied by the health care provider or not generated by the department itself shall be provided to the health care provider for review prior to release to the public. A health care provider shall have sixty days from the date the department mails or delivers the prepublication copy to dispute the accuracy of any information that the department proposes to include in such profile and to submit a written statement setting forth the basis for such dispute. If a health care provider does not notify the department that the health care provider disputes the accuracy of such information within such sixty-day period, the department shall make

136 the profile available to the public and the health care provider shall be 137 deemed to have approved the profile and all information contained in 138 the profile. If a health care provider notifies the department that the 139 health care provider disputes the accuracy of such information in 140 accordance with this subsection, the health care provider's profile shall 141 be released to the public without the disputed information, but with a 142 statement to the effect that information in the identified category is 143 currently the subject of a dispute and is therefore not currently 144 available. Not later than thirty days after the department's receipt of 145 notice of a dispute, the department shall review any information 146 submitted by the health care provider in support of such dispute and 147 determine whether to amend the information contained in the profile. 148 In the event that the department determines not to amend the disputed 149 information, the disputed information shall be included in the profile 150 with a statement that such information is disputed by the health care 151 provider.

- (f) A health care provider may elect to have the health care provider's profile omit information provided pursuant to subdivisions (9) to (11), inclusive, of subsection (b) of this section. In collecting information for such profiles and in the dissemination of such profiles, the department shall inform health care providers that they may choose not to provide the information described in said subdivisions (9) to (11), inclusive.
- (g) Each profile created pursuant to this section shall include the following statement: "This profile contains information that may be used as a starting point in evaluating a health care provider. This profile should not, however, be your sole basis for selecting a health care provider."
- (h) The department shall maintain a web site on the Internet, within
 available appropriations, for use by the public in obtaining profiles of
 health care providers.
- 167 (i) No state law that would otherwise prohibit, limit or penalize

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disclosure of information about a health care provider shall apply to disclosure of information required by this section.

- (j) All information provided by a health care provider pursuant to this section shall be subject to the penalty for false statement under section 53a-157b of the general statutes.
- (k) Except for the information in subdivisions (1), (2), (10) and (11) of subsection (b) of this section, a health care provider shall notify the department of any changes to the information required in subsection (b) of this section not later than sixty days after such change.
- 177 Sec. 2. Section 20-29 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2010*):

179 The Board of Chiropractic Examiners may take any of the actions set 180 forth in section 19a-17 of the 2008 supplement to the general statutes 181 for any of the following reasons: (1) The employment of fraud or deception in obtaining a license, (2) habitual intemperance in the use of 182 183 ardent spirits, narcotics or stimulants to such an extent as to 184 incapacitate the user for the performance of professional duties, (3) 185 violation of any provisions of this chapter or regulations adopted 186 hereunder, (4) engaging in fraud or material deception in the course of 187 professional services or activities, (5) physical or mental illness, 188 emotional disorder or loss of motor skill, including but not limited to, 189 deterioration through the aging process, (6) illegal, incompetent or 190 negligent conduct in the practice of chiropractic, [or] (7) failure to 191 maintain professional liability insurance or other indemnity against 192 liability for professional malpractice as provided in subsection (a) of 193 section 20-28b, or (8) failure to provide information requested by the 194 Department of Public Health for the purposes of completing a health 195 care provider profile, as required by section 1 of this act. Any 196 practitioner against whom any of the foregoing grounds for action 197 under said section 19a-17 of the 2008 supplement to the general 198 statutes are presented to said board shall be furnished with a copy of 199 the complaint and shall have a hearing before said board. The hearing

200 shall be conducted in accordance with the regulations established by 201 the Commissioner of Public Health. Said board may, at any time 202 within two years of such action, by a majority vote, rescind such 203 action. The Commissioner of Public Health may order a license holder 204 to submit to a reasonable physical or mental examination if his or her 205 physical or mental capacity to practice safely is the subject of an 206 investigation. Said commissioner may petition the superior court for 207 the judicial district of Hartford to enforce such order or any action 208 taken pursuant to section 19a-17 of the 2008 supplement to the general 209 statutes.

Sec. 3. Section 20-40 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2010*):

Said department may refuse to grant a license to practice natureopathy or may take any of the actions set forth in section 19a-17 of the 2008 supplement to the general statutes for any of the following reasons: (1) The employment of fraud or material deception in obtaining a license, (2) habitual intemperance in the use of ardent spirits, narcotics or stimulants to such an extent as to incapacitate the user for the performance of professional duties, (3) violations of the provisions of this chapter or regulations adopted hereunder, (4) engaging in fraud or material deception in the course of professional services or activities, (5) physical or mental illness, emotional disorder or loss of motor skill, including but not limited to, deterioration through the aging process, (6) illegal, incompetent or negligent conduct in his or her practice, [or] (7) failure to maintain professional liability insurance or other indemnity against liability for professional malpractice as provided in subsection (a) of section 20-39a, or (8) failure to provide information requested by the Department of Public Health for the purposes of completing a health care provider profile, as required by section 1 of this act. Any applicant for a license to practice natureopathy or any practitioner against whom any of the foregoing grounds for refusing a license or action under said section 19a-17 of the 2008 supplement to the general statutes are presented to said board shall be furnished with a copy of the complaint and shall have a

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234 hearing before said board in accordance with the regulations adopted 235 by the Commissioner of Public Health. The Commissioner of Public 236 Health may order a license holder to submit to a reasonable physical or 237 mental examination if his or her physical or mental capacity to practice 238 safely is the subject of an investigation. Said commissioner may 239 petition the superior court for the judicial district of Hartford to 240 enforce such order or any action taken pursuant to section 19a-17 of 241 the 2008 supplement to the general statutes.

Sec. 4. Section 20-59 of the 2008 supplement to the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2010*):

The board may take any of the actions set forth in section 19a-17 of the 2008 supplement to the general statutes for any of the following reasons: (1) Procurement of a license by fraud or material deception; (2) conviction in a court of competent jurisdiction, either within or without this state, of any crime in the practice of podiatry; (3) fraudulent or deceptive conduct in the course of professional services or activities; (4) illegal or incompetent or negligent conduct in the practice of podiatry; (5) habitual intemperance in the use of spirituous stimulants or addiction to the use of morphine, cocaine or other drugs having a similar effect; (6) aiding and abetting the practice of podiatry by an unlicensed person or a person whose license has been suspended or revoked; (7) mental illness or deficiency of the practitioner; (8) physical illness or loss of motor skill, including but not limited to, deterioration through the aging process, of the practitioner; (9) undertaking or engaging in any medical practice beyond the privileges and rights accorded to the practitioner of podiatry by the provisions of this chapter; (10) failure to maintain professional liability insurance or other indemnity against liability for professional malpractice as provided in subsection (a) of section 20-58a; (11) independently engaging in the performance of ankle surgery procedures without a permit, in violation of section 20-54 of the 2008 supplement to the general statutes; [or] (12) violation of any provision of this chapter or any regulation adopted hereunder; or (13) failure to provide

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information requested by the Department of Public Health for the purposes of completing a health care provider profile, as required by section 1 of this act. The Commissioner of Public Health may order a license holder to submit to a reasonable physical or mental examination if his physical or mental capacity to practice safely is the subject of an investigation. Said commissioner may petition the superior court for the judicial district of Hartford to enforce such order or any action taken pursuant to section 19a-17 of the 2008 supplement to the general statutes. The clerk of any court in this state in which a person practicing podiatry has been convicted of any crime shall, upon such conviction, make written report, in duplicate, to the Department of Public Health of the name and residence of such person, the crime of which such person was convicted and the date of conviction; and said department shall forward one of such duplicate reports to the board.

- Sec. 5. Subsection (a) of section 20-73a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2010*):
 - (a) The Board of Examiners for Physical Therapists shall have jurisdiction to hear all charges of conduct that fails to conform to the accepted standards of the practice of physical therapy brought against any person licensed as a physical therapist or physical therapist assistant and, after holding a hearing, written notice of which shall be given to the person complained of, the board, if it finds such person to be guilty, may revoke or suspend such person's license or take any of the actions set forth in section 19a-17 of the 2008 supplement to the general statutes. Any proceedings relative to such action may be begun by the filing of written charges with the Commissioner of Public Health. The causes for which such action may be taken are as follows: (1) Conviction in a court of competent jurisdiction, either within or without this state, of any crime in the practice of such person's profession; (2) illegal, incompetent or negligent conduct in the practice of physical therapy or in the supervision of a physical therapist assistant; (3) aiding or abetting the unlawful practice of physical therapy; (4) treating human ailments by physical therapy without the

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oral or written referral by a person licensed in this state or in a state 302 303 having licensing requirements meeting the approval of the appropriate 304 examining board in this state to practice medicine and surgery, podiatry, natureopathy, chiropractic or dentistry if such referral is 305 306 required pursuant to section 20-73; (5) failure to register with the 307 Department of Public Health as required by law; (6) fraud or deception 308 in obtaining a license; (7) engaging in fraud or material deception in 309 the course of professional services or activities; (8) failure to comply 310 with the continuing education requirements of section 20-73b of the 311 2008 supplement to the general statutes; [or] (9) violation of any 312 provision of this chapter, or any regulation adopted under this 313 chapter; or (10) failure to provide information requested by the department for the purposes of completing a health care provider 314 315 profile, as required by section 1 of this act.

- Sec. 6. Subsection (b) of section 20-99 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective* 318 *January* 1, 2010):
- 319 (b) Conduct which fails to conform to the accepted standards of the 320 nursing profession includes, but is not limited to, the following: (1) 321 Fraud or material deception in procuring or attempting to procure a 322 license to practice nursing; (2) illegal conduct, incompetence or 323 negligence in carrying out usual nursing functions; (3) physical illness 324 or loss of motor skill, including but not limited to deterioration 325 through the aging process; (4) emotional disorder or mental illness; (5) 326 abuse or excessive use of drugs, including alcohol, narcotics or 327 chemicals; (6) fraud or material deception in the course of professional 328 services or activities; (7) wilful falsification of entries in any hospital, patient or other record pertaining to drugs, the results of which are 329 330 detrimental to the health of a patient; [and] (8) conviction of the 331 violation of any of the provisions of this chapter by any court of 332 criminal jurisdiction; and (9) with respect to an advanced practice 333 registered nurse, failure to provide information requested by the 334 department for the purposes of completing a health care provider 335 profile, as required by section 1 of this act. The Commissioner of Public

Health may order a license holder to submit to a reasonable physical or mental examination if his physical or mental capacity to practice safely is the subject of an investigation. Said commissioner may petition the superior court for the judicial district of Hartford to enforce such order or any action taken pursuant to section 19a-17 of the 2008 supplement to the general statutes.

- Sec. 7. Subsection (a) of section 20-114 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2010*):
- 345 (a) The Dental Commission may take any of the actions set forth in 346 section 19a-17 of the 2008 supplement to the general statutes for any of 347 the following causes: (1) The presentation to the [department] 348 Department of Public Health of any diploma, license or certificate 349 illegally or fraudulently obtained, or obtained from an institution that 350 is not reputable or from an unrecognized or irregular institution or 351 state board, or obtained by the practice of any fraud or deception; (2) 352 proof that a practitioner has become unfit or incompetent or has been 353 guilty of cruelty, incompetence, negligence or indecent conduct toward 354 patients; (3) conviction of the violation of any of the provisions of this 355 chapter by any court of criminal jurisdiction, provided no action shall 356 be taken under section 19a-17 of the 2008 supplement to the general 357 statutes because of such conviction if any appeal to a higher court has 358 been filed until the appeal has been determined by the higher court 359 and the conviction sustained; (4) the employment of any unlicensed 360 person for other than mechanical purposes in the practice of dental 361 medicine or dental surgery subject to the provisions of section 20-122a; 362 (5) the violation of any of the provisions of this chapter or of the 363 regulations adopted hereunder or the refusal to comply with any of 364 said provisions or regulations; (6) the aiding or abetting in the practice 365 of dentistry, dental medicine or dental hygiene of a person not licensed 366 to practice dentistry, dental medicine or dental hygiene in this state; (7) 367 designating a limited practice, except as provided in section 20-106a; 368 (8) engaging in fraud or material deception in the course of 369 professional activities; (9) the effects of physical or mental illness,

emotional disorder or loss of motor skill, including but not limited to, deterioration through the aging process, upon the license holder; (10) abuse or excessive use of drugs, including alcohol, narcotics or chemicals; (11) failure to comply with the continuing education requirements set forth in section 20-126c; [or] (12) failure of a holder of a dental anesthesia or conscious sedation permit to successfully complete an on-site evaluation conducted pursuant to subsection (c) of section 20-123b; or (13) failure to provide information requested by the department for the purposes of completing a health care provider profile, as required by section 1 of act. A violation of any of the provisions of this chapter by any unlicensed employee in the practice of dentistry or dental hygiene, with the knowledge of the employer, shall be deemed a violation by the employer. The Commissioner of Public Health may order a license holder to submit to a reasonable physical or mental examination if his or her physical or mental capacity to practice safely is the subject of an investigation. Said commissioner may petition the superior court for the judicial district of Hartford to enforce such order or any action taken pursuant to section 19a-17 of the 2008 supplement to the general statutes.

Sec. 8. Subsection (a) of section 20-1260 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2010*):

(a) The Department of Public Health may take any of the actions set forth in section 19a-17 of the 2008 supplement to the general statutes for any of the following causes: (1) The presentation to the department of any diploma, license or certificate illegally or fraudulently obtained, or obtained from an institution that is not accredited or from an unrecognized or irregular institution or state board, or obtained by the practice of any fraud or deception; (2) illegal conduct; (3) negligent, incompetent or wrongful conduct in professional activities; (4) conviction of the violation of any of the provisions of sections 20-126h to 20-126w, inclusive, by any court of criminal jurisdiction; (5) the violation of any of the provisions of said sections or of the regulations adopted hereunder or the refusal to comply with any of said

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provisions or regulations; (6) the aiding or abetting in the practice of dental hygiene of a person not licensed to practice dental hygiene in this state; (7) engaging in fraud or material deception in the course of professional activities; (8) the effects of physical or mental illness, emotional disorder or loss of motor skill, including but not limited to, deterioration through the aging process, upon the license holder; [or] (9) abuse or excessive use of drugs, including alcohol, narcotics or chemicals; or (10) failure to provide information requested by the department for the purposes of completing a health care provider profile, as required by section 1 of this act. A violation of any of the provisions of sections 20-126h to 20-126w, inclusive, by any unlicensed employee in the practice of dental hygiene, with the knowledge of his employer, shall be deemed a violation thereof by his employer. The Commissioner of Public Health may order a license holder to submit to a reasonable physical or mental examination if his physical or mental capacity to practice safely is the subject of an investigation. Said commissioner may petition the superior court for the judicial district of Hartford to enforce such order or any action taken pursuant to said section 19a-17 of the 2008 supplement to the general statutes.

Sec. 9. Section 20-133 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2010*):

The board may take any of the actions set forth in section 19a-17 of the 2008 supplement to the general statutes after notice and hearing, for any of the following reasons: (1) Conviction in a court of competent jurisdiction, either within or without this state, of any crime in the practice of optometry; (2) illegal or incompetent or negligent conduct in the practice of optometry; (3) publication or circulation of any fraudulent or misleading statement; (4) aiding or abetting the practice of optometry by an unlicensed person or a person whose license has been suspended or revoked; (5) presentation to the department of any diploma, license or certificate illegally or fraudulently obtained, or from an unrecognized or irregular institution or state board, or obtained by the practice of any fraud or deception; (6) violation of any provision of this chapter or any regulation adopted hereunder; (7) the

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effects of physical or mental illness, emotional disorder or loss of motor skill, including but not limited to, deterioration through the aging process, upon the practitioner; (8) abuse or excessive use of drugs, including alcohol, narcotics or chemicals; [or] (9) failure to maintain professional liability insurance or other indemnity against liability for professional malpractice as required by section 20-133b; or (10) failure to provide information requested by the Department of Public Health for the purposes of completing a health care provider profile, as required by section 1 of this act. The Commissioner of Public Health may order a license holder to submit to a reasonable physical or mental examination if his <u>or her</u> physical or mental capacity to practice safely is the subject of an investigation. Said commissioner may petition the superior court for the judicial district of Hartford to enforce such order or any action taken pursuant to section 19a-17 of the 2008 supplement to the general statutes. The license of any optometrist who peddles optical goods, or solicits orders therefor, from door to door, or who establishes a temporary office, may be revoked, and said department may refuse to renew such license. The license of any optometrist who employs solicitors or obtains money by fraud or misrepresentation in connection with the conduct of the profession of optometry shall be revoked, and said department shall not renew such license. The violation of any of the provisions of this chapter by any unlicensed employee in the employ of an optometrist, with the knowledge of his employer, shall be deemed to be a violation thereof by his employer; and continued violation by such an unlicensed employee shall be deemed prima facie knowledge on the part of such employer. Nothing [herein contained] in this section shall be construed as prohibiting the conducting of clinics or visual surveys when they are conducted without profit."

This act shall take effect as follows and shall amend the following sections:			
Section 1	January 1, 2010	New section	
Sec. 2	January 1, 2010	20-29	
Sec. 3	January 1, 2010	20-40	

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Sec. 4	January 1, 2010	20-59
Sec. 5	January 1, 2010	20-73a(a)
Sec. 6	January 1, 2010	20-99(b)
Sec. 7	January 1, 2010	20-114(a)
Sec. 8	January 1, 2010	20-126o(a)
Sec. 9	January 1, 2010	20-133